

FORM PTO-1390  
(REV 11-98)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY'S CHECK NUMBER  
1151-4155 US1U.S. APPLICATION NO. (If known, see 37 CFR 1.51  
TBA 09/701623INTERNATIONAL APPLICATION  
PCT/US99/13959/INTERNATIONAL FILING DATE  
21 June 1999 (21.06.99)PRIORITY DATE CLAIMED  
20 June 1998 (21.06.98)

TITLE OF INVENTION

PEPTIDE COMPOSITION AS IMMUNOGEN FOR THE TREATMENT OF ALLERGY

APPLICANT(S) FOR DO/EO/US

Chang Yi WANG and Alan M. WALFIELD

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International application into English (35 U.S.C. 371(c)(2)), with oath
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). And Power of Attorney
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and copy of Search Report.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:

Copy of the Form PCT/RO/101, Copy of International Application WO/99/67293  
 Verified Certification of Express Mailing Date (International Application) under 37 C.F.R. § 1.10(c)  
 Statement under 37 CFR 1.821(f);  
 Response to Written Opinion, including amended sheet page 19  
 Preliminary Examination Report  
 -Return receipt postcard

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>09/7016</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US99/13959</b>		ATTORNEY'S SOCKET NO. <b>1151-4153US1</b>	
<b>TBA</b>		<b>CALCULATIONS PTO USE ONLY</b>			
<b>17. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...\$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO.....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$690.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 710.00	
Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ --	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	48 - 20 =	28	X \$18.00	\$ --504.00	
Independent claims	6 - 3 =	3	X \$80.00	\$ --240.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ --270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1724.00	
Reduction of 1/2 for filing by small entity, if applicable. Applicant hereby asserts that it is a small entity, and entitled to 1/2 reduction in fees.				\$ 862.00	
<b>SUBTOTAL =</b>				\$	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ --	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED</b>				\$ 902.00	
				Amount to be refunded: \$	
				charged \$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$902.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 13-4500 in the amount of \$902.00 to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, ORDER NO. 1151-4153US. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p>SEND ALL CORRESPONDENCE TO: Morgan &amp; Finnegan LLP 345 Park Avenue New York, NY 10154-0053</p> <p>Telephone: 212-758-4800 Telecopier: 212-751-6849</p> </div> <div style="width: 45%; text-align: center;"> <p>SIGNATURE</p> <p>Maria C.H. Lin NAME</p> <p>29,323 REGISTRATION NO.</p> </div> </div>					

IN THE UNITED STATES

[ ] RECEIVING OFFICE (RO/US)  
[X] DESIGNATED OFFICE (DO/US)  
[X] ELECTED OFFICE (EO/US)

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TITLE OF INVENTION

PEPTIDE COMPOSITION AS IMMUNOGEN FOR THE TREATMENT OF ALLERGY

APPLICANT(S)

Wang et al

Commissioner for Patents

Box PCT

Washington, D.C. 20231

Attention: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on 01 December 2000 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL704522219US, addressed to the "Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US" and having an express mail certification which I executed, the following papers:

International application, consisting of:

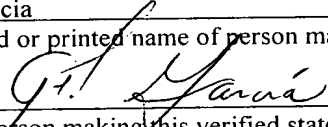
- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed, in duplicate
- Copy of Form PCT/RO/101
- Copy of International Application
- Verified Certification of Express Mailing
- Statement under 37 CFR 1.82(f)2
- Response to Written Opinion
- Amended Page 19
- Preliminary Examination Report
- Return Receipt Postcard

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

F. Garcia

(Typed or printed name of person making this verified statement)

Date December 1, 2000

  
(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])